	A 1 1 4 A 1 1 1	
For Internal Use Only Sec File No. 9-	Submit 1 Original and 4 copies	OMB APPROV OMB Number: 3235-05 Expires July 31, 20 Estimated average burd Comparison of the second s
See The No. 9-	and + copies	Evolution 1 225 05
		Expires July 51, 20
		Weige per response. 2.
HALL BALLA PRANT ALBERT AND REAL MALLER LANDER AVAILABLE		
	UNITED STATES	
	SECURITIES AND EXCHANGE COMMISSION	TR. CITO MA
	WASHINGTON, D.C. 20549	ADIN C
10047591	AMENDED FORM 19b-4(e)	C. en
		"ARK
	Information Required of a Self-Regulatory Organization Listing an	1d Trading a Now
Deriva	ative Securities Product Pursuant to Rule 19b-4(e) Under the Securiti	ies Exchange Act of 1934
	READ ALL INSTRUCTIONS PRIOR TO COMPLET	FING FORM
Part I	Initial Listing Report	
-	tory Organization Listing New Derivative Securities Product	
EDGA Exchange, Inc		
	w Derivative Securities Product (e.g., clearinghouse, broker-d	dealer, corporation, etc.):
Neuberger Berman Manage		
3. Class of New Derivation	ive Securities Product:	
Closed-End Fund		
4. Name of Underlying I	Instrument:	
	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow-	ds; NOX: High Yield Corporate Debt
5. If Underlying Instrum N/A	; NRO: Common & Preferred Stocks	
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product:	-based:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product:	-based:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product:	-based:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product:	-based:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product:	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: RO pon Which Securities Comprising Underlying Instrument Tra	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: RO pon Which Securities Comprising Underlying Instrument Tra	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: RO pon Which Securities Comprising Underlying Instrument Tra	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: RO pon Which Securities Comprising Underlying Instrument Tra	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolc T+3, Physical Settlement 9. Position Limits of Ne 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: w Derivative Securities Product (if applicable):	-based: ades:
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: ew Derivative Securities Product (if applicable): Execution	-based: ades: SDAQ, NYSE
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents the 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: IRO pon Which Securities Comprising Underlying Instrument Tra C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: w Derivative Securities Product (if applicable):	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents the 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: w Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organizat for, the listing and trading of the above-referenced new derivative securities	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTO 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents th approval to the undersigned for procedures, surveillance program 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: ew Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organization for, the listing and trading of the above-referenced new derivative securities grams and listing standards.	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i es product according to its relevant trading rule
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents the approval to the undersigned for procedures, surveillance programme of Official Respondent 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: ew Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organization for, the listing and trading of the above-referenced new derivative securities grams and listing standards.	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i es product according to its relevant trading rule Hess
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents the approval to the undersigned for procedures, surveillance programme of Official Responding Title: 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: ew Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organizat for, the listing and trading of the above-referenced new derivative securities grams and listing standards. Insible for Form: Eric W I General	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i es product according to its relevant trading rule Hess Counsel
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents th approval to the undersigned for procedures, surveillance progring Name of Official Respon Title: Telephone Number: 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: w Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organizat for, the listing and trading of the above-referenced new derivative securities grams and listing standards. Insible for Form: Eric W I General 201-942-	-based: ades: SDAQ, NYSE tion has duly approved, or has duly delegated i es product according to its relevant trading rule Hess Counsel
 5. If Underlying Instrum N/A 6. Ticker Symbol(s) of N NBH, NBO, NBW, NOX, N 7. Market or Markets Up NBH: OTC US; NBO: OTC 8. Settlement Methodolo T+3, Physical Settlement 9. Position Limits of Ne Not Applicable Part II The undersigned represents th approval to the undersigned for procedures, surveillance progring Name of Official Respon Title: Telephone Number: 	; NRO: Common & Preferred Stocks nent is an Index, State Whether it is Broad-Based or Narrow- New Derivative Securities Product: (RO pon Which Securities Comprising Underlying Instrument Tra- C US; NBW: OTC US; NOX: OTC US; NRO: AMEX, ARCA, NAS ogy of New Derivative Securities Product: ew Derivative Securities Product (if applicable): Execution hat the governing body of the above-referenced Self-Regulatory Organizat for, the listing and trading of the above-referenced new derivative securities grams and listing standards. Insible for Form: Eric W I General	-based: ades: SDAQ, NYSE stion has duly approved, or has duly delegated i es product according to its relevant trading rule Hess Counsel

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	JUL 2 3 2010	