

091-089328

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 4 copies

OMB APPROVAL

OMB Number: 3235-0504

Expires July 31, 2004

Estimated average burden

hours per response . . . 2.00



10047565

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
AMENDED FORM 19b-4(e)

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
JUL 23 2010  
DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

EDGA Exchange, Inc

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Thomas J Herzfeld Advisors

3. Class of New Derivative Securities Product:

Closed-End Fund

4. Name of Underlying Instrument:

CUBA: US & Foreign Common Stocks

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

N/A

6. Ticker Symbol(s) of New Derivative Securities Product:

CUBA

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

CUBA: US, Mexico, Caymen Islands, Panama, Bahamas

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Eric W Hess

Title:

General Counsel

Telephone Number:

201-942-8239

Manual Signature of Official Responsible for Form:

Date:

22-Jul-10

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 23 2010