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Manual Signature of Official Responsible for Form:

Date:

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Brooklyn Capital Management 3. Class of New Derivative Securities Product: Closed-End Fund 4. Name of Underlying Instrument: SPE: Closed End Funds, Common Stocks 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: SPE: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel 201-942-8239 Telephone Number:

Act	Securities Exchange Act of 1934	
Section	19b-4	1.1
Rule	19b-4(e)	
Public Availability:	JUL 2 3 2010	

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