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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

JUL 15 2010

WASHINGTON, D.C. 20549

AMENDED FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

**Initial Listing Report** 

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

ALPS Advisors Inc

3. Class of New Derivative Securities Product:

**Investment Company Unit** 

4. Name of Underlying Instrument:

WCAT: Thomson Reuters/Jefferies CRB Wildcatters Energy E&P Equity Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

WCAT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

WCAT: US, Canada

(Please note, underlying components may trade on additional exchanges in countries/regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3. Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric W Hess	
Title:	General Counsel	
Telephone Number:	201-942-8239	
Manual Signature of Official Responsible for Form:		
Date:	14-Jul-10	

Section 19b-4 Rule 19b-4(e)	
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Rule 19b-4(e)	
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