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| Sec Files ECORTIES AND EXCHANGE COMMISSION RECEIVED U SECURITIES AND | ubmit 1 Original and 9 Copies NITED STATES EXCHANGE COMMISSION hington, D.C. 20549 | OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response3.60 |
| FORM 19b-4(e) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 | | |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FO | | |
| Part I | Initial Listing Report | |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: 10047418 The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) 10047418 | | |
| Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company | | |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund | | |
| 4. Name of Underlying Instrument: Russell 1000 Retail Index | | |
| If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based | | |
| 6. Ticker Symbol(s) of New Derivative Securities Product: RETL | | |
| Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex | | |
| 8. Position Limits of New Derivative Securities Product Regular way trades settle on T + 3 (cash settle | (if applicable): | |
| Position Limits of New Derivative Securities Product N/A | | |
| Part II | Execution | |
| The undersigned represents that the governing be approved, or has duly delegated its approval to the new derivative securities product according to its standards. Name of Official Responsible for Form: | ne undersigned for, the listing and tr | ading of the above-referenced |
| Gary N. Sundick Title: Vice Description Qualifications | | |
| Vice President, Listing Qualifications Telephone Number: 1-301-978-5214 | | |
| Manual Signature of Official Responsible for Form: | | |
| Date: July 14, 2010 | Act Se | ecurities Exchange Act of 1934 |
| SEC 2449 (6-01) | | 96-4 |
| | Rule 19 Public Availability: | JUL 2 0 2010 |