Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: 21			D91-08744 for
See File No. 5- See File No. 5- CMB Number 325:0500 Exercised and a copies CMM Number 325:050 Exercised and a copies CMM A community Band A community Band and a copies CMM A community Band and a copies CMM A community Band A copies CMM A cof	For Internal Lise Only	Submit Original	OMB APPROVAL
Deprese July 31, 200 SECURITIES AND EXCHANGE COMMISSION UNITED STATES SECURITIES AND EXCHANGE COMMISSION ULY 2 1 2010 VASHINGFORD, D.C. 2054 AMENDED FORM 198-4(e) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Persuant to Rule 198-4(e) UNITED STATES SECURITIES AND EXCHANGE COMMISSION JUL 2 1 2010 VASHINGFORD, D.C. 2054 NEEDED FORM 198-4(e) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Persuant to Rule 198-4(e) Under the Securities Exchange Act of 1924 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing New Derivative Securities Product: EDCX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trait Advisor 3. Class of New Derivative Securities Product: EDCX Exchange, Inc 5. If Underlying Instrument: 9. CLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument: 9. CLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 6. The A adves are Narrow-Based 7. Market or Markets Upon Which Securities Product: 1. Tab The Adves are Narrow-Based 7. Market or Markets Upon Which Securities Product: 1. Tab Province Securities Product: 1. Part II 2. Statement 2. Part II 2. Execution 2. Part II 2. Compary Data 2. Statement 3. Flast and Adve are Narrow-Based 3. Flast or Markets Upon Which Securities Product: 1. Tab Province Securities Product: 1. Part II 2. Compary Securities Product: 1. Part II 2. Compary Securities Product: 1. Part II 2. Part II 2. Compary Securities Product: 2. Part II 2. Par		Ū	OMB Number: 3235-0504
SECURITIES AND EXCHANGE COMMISSION JUL 2 1 2010 UNTED STATES SECURITIES AND EXCHANGE COMMISSION JUL 2 1 2010 WASHINGTON, D.C. 20549 AMENDED FORM 199-4(6) DIVISION OF TRADING & MARKETS Information Required StateRegulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 199-4(6) Under the Securities Lexande Act of 1924 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report I. Name of Self-Regulatory Organization Listing and trading a New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Traust Advisors 3. Class of New Derivative Securities Product: CCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Baas Index 5. If Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Baas Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexs referred to in item 4 above are Narrow-Based index 7. Market or Markets Upon Which Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 6. Settlement Methodology of New Derivative Securities Product: T+3. Physical Settement 9. Part I 1. Execution The undersigned for, the listing and trading of the above-referenced and erivative scorrities product according to its relevant trading in fragment 1. Name of Official Responsible for Form: 2. Diagram 2. Settement 3. Provide Settement 3. Prove Derivative Securities Product: 3. Class of New Derivative Securities Product: 3. Class of The Direct Product: 3. Class of The Direct Product: 3. Class of The Direct Product: 3. Prove Direct Product: 3.			Expires July 31, 2004
SECURITES AND EXCHANGE COMMESSION RECEIVED 10047377 SECURITES AND EXCHANGE COMMISSION JUL 2 1 2010 WASHINGTON, D.C. 2649 AMENDED FORM 195-4(c) DUSDON OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Parsanat to Bale 195-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report I. 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Insc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trast Advisor 3. Class of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trast Advisor 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Baak Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 Indexs referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Baak Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 Indexs referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Product: 7. Physical Settlement 9. Provide Instrument Securities Product (if applicable): Not Applicable Part II Excention The undersigned for, the Ising and Inding of the above-referenced Self-Begulatory Organization has duly approved, or has duly defegued procedure, surveillance programs and Inding of the above-referenced Self-Begulatory Commission Total Coursel Title: General Coursel Title: General Cours			
RECEIVED 10047377 UNITED STATES SECURITIES AND EXCHANGE COMMISSION JUL 2 1 2010 WASHINGTON, D.C. 2059 AMENDED FORM 199-4(c) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 199-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part 1 Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Ine 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Truet Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: OCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexs referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement <t< td=""><td>A TRAVER INALL OCCUP DEEK THEFT TRAVEL INTER AND A AND A AND A</td><td></td><td>SECURITIES AND EXCHANGE COMMISSION</td></t<>	A TRAVER INALL OCCUP DEEK THEFT TRAVEL INTER AND A AND A AND A		SECURITIES AND EXCHANGE COMMISSION
UNITED STATES 10047377 UNITED STATES SECURITES AND EXCHANCE COMMISSION JUL 2 1 2010 WASHINGTON, D.C. 20549 AMENDED FORM 191-4(e) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 190-4(e) Under the Securities Exchange Act 10344 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trast Advisor 3. Class of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trast Advisor 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: ANSDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Ban Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 index serferred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: QTEC, QABA 7. Market or Markets Upon Which Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: Tr3, Physical Settlement 9. Position Lintis of New Derivative Securities Product: Tr3, Physical Settlement 9. Part II Execution The undersigned for, the isting and trading of the above-referenced self-Regulatory Organization has duly approved, or has duly delegate 1. approval to the undersigned for, the isting and trading of the above-referenced and vertive securities product according to its relevant trading no products, surveiliance programs and listing standards Name of Official Responsible for Form: 2. Devision Listing of Comparison in the above-referenced and vertive securities product, according to its relevant tradin			RECEIVED
Image: Securities AND EXCHANGE COMMISSION JUL 2 1 2010 WASHINGTON, D.C. 20549 AMENDED FORM 194-4(c) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 190-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: Intervestment Company Unit QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: Tae 3 ladcase referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, OTEC, QABA 7. Market or Markets Upon Which Securities Product: T3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T3. Physical Settlement </td <td></td> <td>UNITED STATES</td> <td></td>		UNITED STATES	
WASHINGTON, D.C. 20549 AMENDED FORM 198-4(c) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Kall 198-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCK Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Eirst Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: OCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexs referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: OCLN: OTEC, OABA 7. Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: 7. J. Markets Upon Which Securities Product: 7. Market or Markets Upon Which Securities Product: 7. Market or Markets Upon Which Securities Product: 7. Market or Markets Upon Which Securities Product: 7. J. Physical Settlement 7. Position Limits of New Derivative Securities Product: 7. Position Limits of New Derivative Securities Product (i	10047377		AISSION 1111 2 1 2010
AMENDED FORM 19b-4(s) DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(s) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCC Exchange, Inc 3. Class of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trent Advisor 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: CRC, QABA 7. Market or Markets Upon Which Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product: Tri-S. Physical Settlement 9. Position Limits of New Derivative Securities Product:			
DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGC Exchange, Ine 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index : QABA: ABA Community Ban Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 Indexs referred to in item 4 above are Narrow-Based 5. Ticker Symbol(s) of New Derivative Securities Product: QCLN: OTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T-3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-4. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-5. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-7. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-4. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-5. Physical Settlement 9. Position Limits of New Derivative Securities Product: T-6. Part 11 Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced New derivative securities product according to its relevant trading rule recordures. Survision Physical Settlement	** <u></u> **		
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(c) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part 1 Initial Listing Report I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Pirst Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: OCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexs referred to in litem 4 above are Narrow-Based 7. Market or Markets Upon Which Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product: T+3. Physical Settlement 1. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegate approval to the undersigned for, the listing and trading of the above-referenced Self-Regul		AMENDED FORM $175-4(c)$	
Derivative Securities Product Pursuant to Rule 190-4(a) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDOC Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index Indext above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ QLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ Settlement Methodology of New Derivative Securities Product: Tr3, Physical Settlement 9. Position Limits of New Derivative Securities Product: Tr3, Physical Settlement 9. Position Limits of New Derivative Securities Product: Tr3, Physical Settl	Information P	Dequired of a Salf-Regulatory Organization I	
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, TR2, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN, AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T-3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Not Applicable The undersigned for, the isting and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the isting and trading of the above-referenced new derivative securities product according to its relevant trading rol proceduse, surveillance programs			
Initial Listing Report 1. Name of SelF.Regulatory Organization Listing New Derivative Securities Product: EDGC Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced activative securities product according to its relevant trading rd procedure, survisilinace programs and listing standards. Name of			
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDCX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community BankIndex 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: OTEC; QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced	and the second		
EDGX Exchange, Inc 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Pirst Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsecl Telephone Num			1
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexs referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T-3, Physical Settlement 9. Position Limits of New Derivative Securities Product: T-4, Physical Settlement 9. Position Limits of New Derivative Securities Product: T-4, Physical Settlement 9. Position Limits of New Derivative Securities Product: T-4, Physical Settlement 9. Position Limits of New Derivative Securities Product: T-7, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing bod		ion Listing New Derivative Securities P	Product:
First Trust Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ: QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Title: General Counsel Title: General Counsel			
3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to is relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: 2010-942-8239 Manual Signature of Official Responsible for Form:	2. Type of Issuer of New Derivative S	ecurities Product (e.g., clearinghouse, bi	roker-dealer, corporation, etc.):
Investment Company Unit 4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Band Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Regulatory Organization has duly delgated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: CD1-942-8239 Manual Signature of Official Responsible for Form: CD1-942-8239			
4. Name of Underlying Instrument: QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Aplicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: 211-942-8239	3. Class of New Derivative Securities	Product:	
QCLN: NASDAQ Clean Edge U.S. Liquid Series Index ; QTEC: NASDAQ-100-Technology Sector Index ; QABA: ABA Community Bank Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Line	Investment Company Unit		
Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rup procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: 201-942-8239	4. Name of Underlying Instrument:		
The 3 indexes referred to in item 4 above are Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned for, the listing and trading of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Yulu-	Index		
6. Ticker Symbol(s) of New Derivative Securities Product: QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: U	5. If Underlying Instrument is an Index	x, State Whether it is Broad-Based or Na	arrow-based:
QCLN, QTEC, QABA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Uh	The 3 indexes referred to in item 4 above a	re Narrow-Based	
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: \mathcal{L}	6. Ticker Symbol(s) of New Derivative	e Securities Product:	
QCLN: AMEX, ARCA, NASDAQ, NYSE; QTEC: NASDAQ; QABA: AMEX, ARCA, NYSE, NASDAQ 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: L			
8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Z U	7. Market or Markets Upon Which Sec	curities Comprising Underlying Instrum	ent Trades:
T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Lune	QCLN: AMEX, ARCA, NASDAQ, NYSE;	QTEC: NASDAQ; QABA: AMEX, ARCA	, NYSE, NASDAQ
9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: E U	8. Settlement Methodology of New De	rivative Securities Product:	
9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: E U	T+3, Physical Settlement		
Not Applicable Execution Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: E U		securities Product (if applicable):	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: \mathcal{L}	Not Applicable		
approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rule procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Eric W Hess Title: General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: Zul-	Part II	Execution	
General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: 20	approval to the undersigned for, the listing an	d trading of the above-referenced new derivative	Organization has duly approved, or has duly delegated its ve securities product according to its relevant trading rules,
General Counsel Telephone Number: 201-942-8239 Manual Signature of Official Responsible for Form: 21	Name of Official Responsible for Form	i: Er	ic W Hess
Manual Signature of Official Responsible for Form:			neral Counsel
Manual Signature of Official Responsible for Form:	Telephone Number:	201	1-942-8239
		ble for Form: 9	l
	Date:		Jul-10

Act	Securities Exchange Act of 1934		
Section	19b-4		
the second s	19b-4(e)		
Rule Public Availability:	JUL 2 1 2010	74.9.5	