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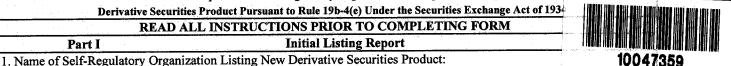
WASHINGTON, D.C. 20549

DIVISION OF TRADING & MARKETS

AMENDED FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 193



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<i>)</i> . `	Type of Issuer of N	ew Derivative :	Securities	Product (e.g.,	clearingnouse.	broker-dealer.	corporation, et	.C.).
	Type of roomer of the	• = •				•		•

Gladstone Investment Corporation 3. Class of New Derivative Securities Product:

Closed-End Fund

EDGA Exchange Inc

4. Name of Underlying Instrument:

Part I

GAIN: Subordinated Loans, Mezzanine Debt, & Preferred Stocks

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

6. Ticker Symbol(s) of New Derivative Securities Product:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

GAIN: AMEX, ARCA, NASDAQ, NYSE, OTC US

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric W Hess
Title:	General Counsel
Telephone Number:	201-942-8239
Manual Signature of Official Responsible for Form:	94
Date:	19-Jul-10

Act	Securities Exchange Act of 1934				
Section	19b-4				
Rule	19b-4(e)				
Public Availability:	JUL 2 1 2010				