fr For Internal Use OrRECEIVED OMB APPROVAL Submit 1 Original OMB Number: 3235-0504 and 9 Copies Sec File No. 9-August 31, 2010 Expires: 2010 MAY -7 AM 8: 55 UNITED STATES Estimated average burden hours per response. . . . . 3.60 SECURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Board Options Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Broker-Dealer **Class of New Derivative Securities Product:** 3. Exchange-Traded Fund Name of Underlying Instrument: 4. Nuveen CT Div Adv Muni If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-Based Ticker Symbol(s) of New Derivative Securities Product: 6. NFCpC Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. NASDAO/NYSE Settlement Methodology of New Derivative Securities Product: Not Applicable 8. Position Limits of New Derivative Securities Product (if applicable): 9. Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Angelo Evangelou Title: Assistant General Counsel **Telephone Number:** (312) 786-7464 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Date: April 29, 201 Act Section 19b-4 SEC 2449 (6-01) 19b-4(e) Rule Public

Availability:

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