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Part I

1.

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SESCURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product:

	Chicago Board Options Exchange
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Broker-Dealer
3.	Class of New Derivative Securities Product: Exchange-Traded Fund
4	Name of Underlying Instrument:

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product: 6. **NCCpC**

National City Cap TR IV

- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. NASDAQ/NYSĖ
- Settlement Methodology of New Derivative Securities Product: Not Applicable 8.
- Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Execution Part II

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Angelo Evangelou

Title:

Assistant General Counsel

Telephone Number:

(312) 786-7464

Manual Signature of Official Responsible for Form:

Date:

April 29, 20

Securities Exchange Act of 1934 Act

19b-4 Section 19b-4(e) Rule

Public

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