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**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0504

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August 31, 2010

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## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Trading New Derivative Securities Product: National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: **Equity** Name of Underlying Instrument: **KBW Regional Banking Index** If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** Ticker Symbol(s) of New Derivative Securities Product: **KRS** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic equity markets Settlement Methodology of New Derivative Securities Product: Cash 9. Position Limits of New Derivative Securities Product (if applicable):

## Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
	MAY 3 2010
	2010



James C. Yong Chief Regulatory Officer james.yong@nsx.com RECEIVED.
2010 MAY -3 PM 4: 03
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April 27, 2010

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- 1. EFM
- 2. ELB
- 3. NMBpC
- 4. SIL
- 5. COPX
- 6. NNOpC
- 7. NFCpC
- 8. NRBpC
- 9. NGKpC
- 10. KRU
- 11. KRS

Please contact me if you have any questions on the enclosed materials.

Very truly yours

Encls.

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Phone Rule 19b-4(e)

Fax 312 Availability: MAY 3 - 2010

www.nsx.com