	091 - 08391m
For Internal Use RECEIVED	
Only Submit 1 Original Sec File No. 9- 2010 HAY - 3 PH 1: 02 and 9 Copies	OMB APPROVAL OMB Number: 3235-0504
SEC / TM SEC / TM SECURITIES AND EXCHANGE COMMISSION	Expires: August 31, 2010 Estimated average burden hours per response3.60
Washington, D.C. 20549	nours per response
FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New	
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934	
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I Initial Listing Report	
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)	10046981
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company	
 Class of New Derivative Securities Product: Exchange Traded Fund 	
4. Name of Underlying Instrument: KBW Regional Banking Index	
 If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 	
 Ticker Symbol(s) of New Derivative Securities Product: KRS 	
 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE 	
 Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 	
 Position Limits of New Derivative Securities Product (if applicable): N/A 	
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
Telephone Number: 301-978-8735	
Manual Signature of Official Responsible for Form:	
Date: April 22, 2010	Securities Exchange Act of 1934
SEC 2449 (6-01) Section Rule	19b-4 19b-4(a)
Public	19b-4(e) MAY 3 2010
Availability:	