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Submit 1 Original For Internal Use Only 1777 OMB Approval No. Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION SEC / TH Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: FTSE All-World Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ondon Exchange, NASDAQ Stock Market, New York Stock Exchange, Toronto Exchange, Sao Paulo Exchange, Mexico City Exchange, Santiago Exchange, Bogota Exchange, Buenos Aires Exchange, Lima Exchange, NYSE Amex, Irish Exchange, Euronext France, Euronext Netherlands, Euronext Portugal. Euronext Belguim, Budapest Exchange, Italy Continuous, Spanish Stock Market International, Athens Exchange, Warsaw Exchange, Copenhagen Exchange, Oslo Exchange, Vienna Exchange, Swiss Six Exchange, Helsinki Exchange, Prague Exchange, Moscow Exchange, OMX Exchanges, Hong Kong Exchange, ASX National, Cairo Exchange, Taipei Exchange, Shenzen Exchange, Johannesburg Exchange, Indonesia Exchange, Kuala Lumpur, Manila Exchange, Bombay Exchange, Taiwan OTC (Gre Tai Securities Market), Prague Exchanges, Tel Aviv Exchange, Shangai Exchange, Bangkok Exchange, Casablanca Exchange, NZSE National Market, Karachi Exchange, Tokyo Exchange, Osaka Exchange, Bangkok Alien, Budapest Exchange, Istanbul Exchange, Singapore Exchange, 3. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Sudhir C. Bhattacharyya Title: Vice President - Legal Act Securities Exchange Act of 1934 Telephone Number: Section 190-4 (212) 656-2920 211 90-4(c) Manual Signature of Official Responsible for Form: Public Availabilitu <del>2010</del> April 6, 2010

SEC 2449 (1/99)



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212.656.2920 212.656.8101

sbhattacharyya@nyx.com

SEC / TM

## Via Overnight Mail

April 6, 2010

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: Form 19b-4(e) – Bank of America / GlobalShares / UBS

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- Bank of America Capped Leveraged Index Return Notes linked to the S&P 500 Index due March 30, 2012 (Ticker Symbol: LDX)
- Bank of America Market Index Target Term Securities Linked to the Dow Jones Industrial Average Due March 27, 2015 (Ticker Symbol: SDA)
- GlobalShares FTSE All-World ETF linked to the FTSE All-World Index (Ticker Symbol: GSW)
- GlobalShares FTSE All-World ex US Fund ETF linked to the FTSE All-World ex US Index (Ticker Symbol: GSO)
- GlobalShares FTSE All-Cap Asia Pacific ex Japan Fund ETF linked to the FTSE All-Cap Asia Pacific ex Japan Index (Ticker Symbol: GSZ)
- UBS E-TRACS Alerian MLP Infrastructure ETN linked to the Alerian MLP Infrastructure Index due April 2, 2040 (Ticker Symbol: MLPI)

If you have any questions, please do not hesitate to call me at (212) 656-2920.

Sincerely,

**Enclosures** 

Cc: Tim Malinowski (NYSE Euronext)

| Act                     | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section                 | 19b-4                           |
| Rule                    | 19b-4(e)                        |
| Public<br>Availability: | APR - 7 2010                    |