For Internal Use Only 5 PH 1: 17
Sec File No. 10 PH 1: 17

Date: March 30, 2010

SEC / TM

Submit 1 Original and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

0 11 0001

OMB APPROVAL

OMB Number:

3235-0504

Expires:

August 31, 2010

Estimated average burden

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Trading New Derivative Securities Product: National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: **Equity** Name of Underlying Instrument: IQ Canada Small Cap Index If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based Ticker Symbol(s) of New Derivative Securities Product: **CNDA** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Canadian stock markets Settlement Methodology of New Derivative Securities Product: 9. Position Limits of New Derivative Securities Product (if applicable): Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs. Securities Exchange Act of 1934 Act Name of Official Responsible for Form: James C. Yong Section 19b-4 Title: Chief Regulatory Officer Rule 19b-4(e) Telephone Number: (312) 786-8803 Public Availability: APR - 5 2010 Manual Signature of Official Responsible for Form:



RECEIVED

James C. Yong Chief Regulatory Officer james.yong@nsx.com

2010 APR -5 PM 4: 12

SEC / TM

March 30, 2010

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

1. NPGpC

4. SBM

7. KROO

2. NWIpC

5. YXI

3. REK

6. ADF

8. CNDA

Please contact me if you have any questions on the enclosed materials.

Very truly yours

Encls.

Securities Exchange Act of 1934 Act Section 19b-4 Rule 19b-4(e) Public APR - 5 2010 Availability: