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**UNITED STATES** APR -2 PH 3: SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. 3. Class of New Derivative Securities Product: See attached. 4. Name of Underlying Instrument: See attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Tamara Schademann Title: SVP, Chief Regulatory Officer Telephone Number: (913) 815-7113 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date: March 31, 2010 Section 19b-4 Rule <del>19b-4(c)</del> Public SEC 2449 (6-01)

Availability:

APR - 2 **2010** 

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON MARCH 25, 2010

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
CNDA	Trust	Investment company units	IQ Canada Small Cap Index	Various Canadian markets	Broad
KROO	Trust	Investment company units	IQ Australia Small Cap Index	Various world markets	Broad
RBL	Trust	Investment company units	S&P Russia Capped BMI Index	Various world markets	Broad
WCAT	Trust	Investment company units	Thomson Reuters/Jefferies CRB Wildcatters Energy E&P Equity Index	Various North American markets	Broad



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SEC / TM

March 31, 2010

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on BATS Exchange on March 25, 2010. Please contact me or Anders Franzon (913.815.7154) if you have any questions in connection with this matter.

Sincerely,

Tamara Schademann

SVP, Chief Regulatory Officer

913.815.7113

Act	Securities Exchange Act of 1934			
Section	19b-4			
Rule	19b-4(e)			
Public Availability:	APR - 2 2010			