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Only


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## UNITED STATES

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I



10046849

## Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation
3. Class of New Derivative Securities Product: Index Linked Note
4. Name of Underlying Instrument: S\&P 500 Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based
6. Ticker Symbol(s) of New Derivative Securities Product: AMY
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE
8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on $\mathbf{T}+3$ (cash settled)
9. Position Limits of New Derivative Securities Product (if applicable):

N/A


