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OMB APPROVAL

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# FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchap

#### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I	

#### **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
- Class of New Derivative Securities Product: 3.

ownership of the trust

- 4. Name of Underlying Instrument:
  - SPDR Barclays Capital Convertible Bond ETF
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
- 6. Ticker Symbol(s) of New Derivative Securities Product:

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various
- 8. Settlement Methodology of New Derivative Securities Product: see Prospectus
- 9. Position Limits of New Derivative Securities Product (if applicable): see Prospectus

### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsible for Form:

Date: 02/22/10

Securities Exchange Act of 1934

Section 19b-4

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Public

MAR 2 2 2010 Availability:

SEC 2449 (1/99)



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SEC / TM March 15, 2010

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re: Form 19b-4(e) for SPDR ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to five (5) SPDR ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

**Associate General Counsel** 

**Enclosures** 

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 2 2 2010