

091-07985m

For Internal Use Only  
Sec File No. 9  
SECURITIES AND EXCHANGE COMMISSION  
RECEIVED

Submit 1 Original  
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
Hours per response . . . . . 3.60

MAR 11 2010

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



10046611

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:  
National Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Corporation
3. Class of New Derivative Securities Product:  
Debt
4. Name of Underlying Instrument:  
Energy Select Sector Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:  
ANI
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
domestic stock exchanges
8. Settlement Methodology of New Derivative Securities Product:  
Cash
9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date: March 9, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	MAR 11 2010



James C. Yong  
Chief Regulatory Officer  
james.yong@nsx.com

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED

MAR 11 2010

DIVISION OF TRADING & MARKETS

March 9, 2010

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- |          |          |          |
|----------|----------|----------|
| 1. NTCpC | 14. GXG  | 27. INDY |
| 2. NEV   | 15. GRI  | 28. CQQQ |
| 3. NEApC | 16. ESR  | 29. UBT  |
| 4. JLS   | 17. DNO  | 30. PCEF |
| 5. FTT   | 18. CZM  | 31. EMT  |
| 6. IDE   | 19. CZI  | 32. ESM  |
| 7. JMT   | 20. CSLS | 33. CLY  |
| 8. MBF   | 21. ANI  | 34. AGR  |
| 9. MBC   | 22. WCAT | 35. IGOV |
| 10. JEM  | 23. PPLT | 36. ISHG |
| 11. IFO  | 24. EIF  | 37. GRID |
| 12. CRO  | 25. MKN  | 38. OOK  |
| 13. HGI  | 26. ESK  |          |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	MAR 11 2010

Very truly yours

James C. Yong

Encls.