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## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0504

Expires:

August 31, 2010

Estimated average burden

OMB APPROVAL

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Trading New Derivative Securities Product: National Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust Class of New Derivative Securities Product: **Equity** Name of Underlying Instrument: Zacks International Multi-Asset Income Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** Ticker Symbol(s) of New Derivative Securities Product: **HGI** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: International stock exchanges Settlement Methodology of New Derivative Securities Product: cash Position Limits of New Derivative Securities Product (if applicable): Execution Part II

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong	Act Securities Exchange Act of 1934	
Title: Chief Regulatory Officer	Section 19b-4	
Telephone Number: (312) 786-8803	Rule 19b-4(e)	
Manual Signature of Official Responsible for Form:	Public MAH 1 1 2010 Availability:	
Data: March 9, 2010	e e · g ·	

Date: March 9, 2010



James C. Yong Chief Regulatory Officer james.yong@nsx.com

## SECURITIES AND EXCHANGE COMMISSION RECEIVED

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**DIVISION OF TRADING & MARKETS** 

March 9, 2010

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

1. NTCpC	14. GXG	27. INDY
2. NEV	15. GRI	28. CQQQ
3. NEApC	16. ESR	29. UBT
4. JLS	17. DNO	30. PCEF
5. FTT	18. CZM	31. EMT
6. IDE	19. CZI	32. ESM
7. JMT	20. CSLS	33. CLY
8. MBF	21. ANI	34. AGR
9. MBC	22. WCAT	35. IGOV
10. JEM	23. PPLT	36. ISHG
11. IFO	24. EIF	37. GRID
12. CRO	25. MKN	38. OOK
13. HGI	26. ESK	
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If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Act Securities Exchange Act of 1934

Very truly yours

Section 19b-4

Rule 19b-4(e)

Public

Availability: MAR 1 1 2010

Encls.

One Financial Place 440 South LaSalle Street Suite 2600 Chicago Illinois 60605 Phone 312.786.8893 Fax 312.939.7239 www.nsx.com