

091-079304

For Internal Use Only
Sec File No. 9-

RECEIVED

Submit 1 Original
and 9 Copies

2010 MAR -8 PM 4:12

SEC / TM

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

| OMB APPROVAL | |
|--------------------------|-----------------|
| OMB Number: | 3235-0504 |
| Expires: | August 31, 2010 |
| Estimated average burden | |
| Hours per response..... | 3.60 |

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



10046557

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Trust

3. Class of New Derivative Securities Product:

Equity

4. Name of Underlying Instrument:

Russel 2000 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

SRTY

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

domestic stock exchanges

8. Settlement Methodology of New Derivative Securities Product:

cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date: March 2, 2010

| | |
|---------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public | |
| Availability: | MAR 8 2010 |



James C. Yong
Chief Regulatory Officer
james.yong@nsx.com

RECEIVED
2010 MAR -8 PM 4:11
SEC / TM

March 2, 2010

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a-completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | |
|---------|----------|
| 1. BEJ | 13. STPZ |
| 2. BGI | 14. TIPZ |
| 3. SOM | 15. TWQ |
| 4. BZZ | 16. TUZ |
| 5. BLM | 17. UDOW |
| 6. BUW | 18. SDOW |
| 7. BLZ | 19. UMDD |
| 8. BUN | 20. SMDD |
| 9. RPX | 21. URTY |
| 10. RWG | 22. SRTY |
| 11. RPQ | 23. VNOD |
| 12. RFF | |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

James C. Yong

Encls.

One Financial Place
440 South LaSalle Street Suite 2600
Chicago Illinois 60605

Phone 312.8893
Fax 312.939.7239
www.nsx.com

| | |
|---------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Availability: | MAR 8 2010 |