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OMB APPROVAL

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response. 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



10046448

- 1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Debt
- 3. Class of New Derivative Securities Product:
Corporation
- 4. Name of Underlying Instrument:
S&P Small Cap Regional Banks Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
SDE
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
domestic stock exchanges
- 8. Settlement Methodology of New Derivative Securities Product:
cash
- 9. Position Limits of New Derivative Securities Product (if applicable):

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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Date: February 18, 2010

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 22 2010



James C. Yong
Chief Regulatory Officer
james.yong@nsx.com

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February 18, 2010

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | |
|--------|----------|
| 1. SXU | 8. CFU |
| 2. DGK | 9. SDE |
| 3. DEV | 10. SHJ |
| 4. SSM | 11. VNM |
| 5. RER | 12. PNQI |
| 6. SHR | 13. PQBW |
| 7. ABY | |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours


James C. Yong

Encls.

One Financial Place
440 South LaSalle Street Suite 2600
Chicago Illinois 60605

Phone 312.786.8893
Fax
www.nsx.com

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Section	19b-4
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