

091-07715 am

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2001
Estimated average burden hours per response:	2.00

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



10046342

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
open end management investment company
- Class of New Derivative Securities Product: Shares representing units of fractional undivided
beneficial interest in the net assets of the Fund
- Name of Underlying Instrument:
First Trust DN Strategic Value Index Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad
- Ticker Symbol(s) of New Derivative Securities Product:
FDV
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
various
- Settlement Methodology of New Derivative Securities Product:
see Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
see Prospectus

SEC Mail Processing
Section

FEB 12 2010

Washington, DC
110

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
James Ongena

Title:
Vice President and Associate General Counsel

Telephone Number:
312 663 2937

Manual Signature of Official Responsible for Form:

Date: 02/05/10

RECEIVED
2010 FEB 24 AM 11:28
SEC / TM

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 24 2010



Chicago Stock Exchange

RECEIVED

2010 FEB 24 AM 11:28

SEC / TM

SEC Mail Processing
Section

FEB 12 2010

Washington, DC
110

February 5, 2010

BY U.S. MAIL

Division of Trading and Markets
Securities and Exchange Commission
100 F Street N.E.
Washington, D.C. 20549

Re: Form 19b-4(e) for First Trust ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to three (3) First Trust ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena
Associate General Counsel

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	FEB 24 2010