

For Internal Use Only Sec File No. 9-

Part I

Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Initial Listing Report

	Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
3.	Class of New Derivative Securities Product: Exchange Traded Fund
	Name of Underlying Instrument: Barclays Capital 7-10 Year U.S. Treasury Bond Index
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-based
	Ticker Symbol(s) of New Derivative Securities Product: UST
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
8.	Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
9.	Position Limits of New Derivative Securities Product (if applicable): N/A
Pa	art II Execution
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Joan Conley	
	tle: ecretary \
Telephone Number: 301-978-8735	
	anual Signature of Official Responsibile for Form: Act Securities Exchange Act of 1934
D	ate: January 26, 2010 Section 19b-4
S	Rule 19b-4(e) Public Availability: JAN 2 8 2010