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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Initial Listing Report

 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Board Options Exchange

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Broker-Dealer

3. Class of New Derivative Securities Product:

Exchange-Traded Fund

4. Name of Underlying Instrument:

GlobalShares FTSE Emerging Markets Fund

 If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NASDAQ/NYSE

8. Settlement Methodology of New Derivative Securities Product: Not Applicable

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Part I

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Angelo Evangelou

Title:

Assistant General Counsel

Telephone Number:

(312) 786-7464

Manual Signature of Official Responsible for Form:

Date:

1/19/2010

1/19/2010

SEC 2449 (6-01)	Act	Securities Exchange Act of 1934
	Section	19b-4
	Rule	19b-4(e)
	Public Availability:	JAN 25 2010

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