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For In Sec Fi	ternal Use ORECEIVED Submit t Original and 9 Copies OMB APPROVAL Ie No. 9- 2010 JAN 12 AM II: 17 UNITED STATES SECURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20549	Ū
	FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New	
	Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934	
	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I	Initial Listing Report	
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: 10046002	n traff fan de
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Broker-Dealer	
3.	Class of New Derivative Securities Product: Exchange-Traded Fund	
4.	Name of Underlying Instrument: The Swiss Helvetia Fund, Inc.	
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based	
6.	Ticker Symbol(s) of New Derivative Securities Product: SWZ	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NASDAQ/NYSE	
8.	Settlement Methodology of New Derivative Securities Product: Not Applicable	
9.	Position Limits of New Derivative Securities Product (if applicable):	
	Not Applicable	
Part II	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name o	f Official Responsible for Form: Angelo Evangelou	
Title:	Assistant General Counsel	
Telepho	ne Number: (312) 786-7464	
Manual	Signature of Official Responsible for Form:	
Date:	12/18/2009	
EC 244		
	Section 19b-4	
	$\frac{\text{Rule}}{\text{Public}} = \frac{19b-4(e)}{2010}$	
	Public Availability: JAN 1 2 2010	