

091-07285 fm

For Internal Use Only
Sec File No. 9-
RECEIVED

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
hours per response: 3.60

DEC 22 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

DIVISION OF TRADING & MARKETS

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Board Options Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, co...):
Broker-Dealer
- Class of New Derivative Securities Product:
Exchange-Traded Fund
- Name of Underlying Instrument:
Nuveen Floating Rate Income Opportunity Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:
JRO
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NASDAQ/NYSE
- Settlement Methodology of New Derivative Securities Product:
Not Applicable
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Angelo Evangelou

Title:
Assistant General Counsel

Telephone Number:
(312) 786-7464

Manual Signature of Official Responsible for Form:
Angelo Evangelou

Date: 12/17/09

SEC 2449 (6-07)	Act	Securities Exchange Act of 1934
	Section	19b-4
	Rule	19b-4(e)
	Public	
	Availability:	DEC 22 2009