OMB A For Internal Use Only Submit 1 Original 3235-0504 OMB Number: and 9 Copies Sec File No. 9-Expires: August 31, 2010 ES AND EXCHANGE COMMISSION UNITED STATES Estimated average burden **RECEIVED** SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 DEC 22 mng FORM 19b-4(e) DWSInformation Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** ASROC 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Tami Schademann Title: SVP, Chief Regulatory Officer Telephone Number: (913) 815-7113 Manual Signature of Official Responsible for Form: Ma MAA Date: 2000 Decembe Securities Exchange Act of 1934 Act 19b-4Section SEC 2449 (6-01) Rule 19b-4(e)DEC 22 2009 Public

Availability:

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON DECEMBER 21, 2009

<u>(6)</u>	(2) Type of		•	(7) Market(s) upon Which Securities	(5) Broad
<u>Ticker</u>	<u>Issuer of</u>		(4) Name of Underlying	Comprising Underlying	<u>or</u>
<u>Symbol</u>	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
CPI	Trust	Investment company units	IQ CPI Inflation Hedged Index	Various world markets	Broad
CZI	Trust	Investment company units	FTSE Xinhua China 25 Index	Hong Kong Stock Exchange	Broad
CZM	Trust	Investment company units	FTSE Xinhua China 25 Index	Hong Kong Stock Exchange	Broad
GRES	Trust	Investment company units	IQ ARB Global Resources Index	Various world markets	Broad
LBJ	Trust	Investment company units	S&P Latin America Index	Various world markets	Broad
LHB	Trust	Investment company units	S&P Latin America Index	Various world markets	Broad



SECURITIES AND EXCHANGE COMMISSION

DEC 22 2009

December 21, 2009

DIVISION OF TRADING & MARKETS

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding six (6) derivative securities products that commenced trading on BATS Exchange on December 21, 2009. Please contact me or Anders Franzon (913.815.7154) if you have any questions in connection with this matter.

Sincerely,

amara Schademann

Tami Schademann SVP, Chief Regulatory Officer 913.815.7113

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	DEC 2 2 2009		

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