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SECURITIES AND EXCHANGE COMMISSION RECEIVED

## **UNITED STATES** SECURITIES AND EXCHANGE COMMIS SION

Washington, D.C. 20549

DEC 0.3 2009

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New DIVISION TO Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	Initial Listing Report					
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Exchange, Inc.	10045585				
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached.					
3.	Class of New Derivative Securities Product: See attached.					
4.	Name of Underlying Instrument: See attached.					
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  See attached.					
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.					
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.					
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.					
9.	Position Limits of New Derivative Securities Product (if applicable):  Not applicable.					
Part II	Execution					
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.					
Name of	Name of Official Responsible for Form: Anders Franzon					
Title:	VP, Associate General Counsel					
Telephor	e Number: (913) 815-7154	·				
Manual !	Manual Signature of Official Responsible for Form:					
Date:	December 2, 2009					

Act SEC 2449 (6-01)	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	DEC - 3 2009		
Availability:	- 2000		

## ATTACHMENT TO FORM 19b-4(e)

FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. PURSUANT TO UNLISTED TRADING PRIVILEGES COMMENCING ON NOVEMBER 25, 2009

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
INDY	Trust	Investment shares	S&P India Nifty 50 Index	National Stock Exchange of India	Broad
UNL	Corporation	Investment shares	Natural Gas Futures Contracts	NYMEX	Broad



SECURITIES AND EXCHANGE COMMISSION
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DEC 0 3 2009

DIVISION OF TRADING & MARKETS

December 2, 2009

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on BATS Exchange on November 25, 2009. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	DEC - 3 <b>2009</b>		