

091-069668

For Internal Use  
Only  
SECURITIES AND EXCHANGE COMMISSION  
Sec File No. 09-111  
**RECEIVED**

Submit 1 Original  
and 9 Copies

**OMB APPROVAL**  
OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
hours per response.....3.60

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**DIVISION OF TRADING & MARKETS**

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING**



**10045584**

**Part I Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)**
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Corporation**
- 3. Class of New Derivative Securities Product:  
**Index Linked Note**
- 4. Name of Underlying Instrument:  
**Price of Gold**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
**MOL**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: London Gold Pool**
- 8. Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 3 (cash settled)**
- 9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Joan Conley**

Title:  
**Secretary**

Telephone Number:  
**301-978-8735**

Manual Signature of Official Responsible for Form:

Date: **November 24, 2009**

**Act**  
SEC 2449 (6-01) Securities Exchange Act of 1934  
**Section** 19b-4  
**Rule** 19b-4(e)  
**Public**  
**Availability:** DEC - 3 2009