091-06880m

For Internal Use Only Sec File No. 9-

RECEIVED

Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010

Estimated average burden

UNITED STATES 2009 NOV 24 PISECURATIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / TM

Availability:

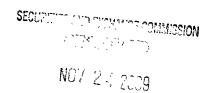
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FO	OPM
Part I	Initial Listing Report	
1.	Name of Self-Regulatory Organization Trading New Derivative Securities Product:	
	National Stock Exchange, Inc.	10045498
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer,	corporation, etc.):
	Trust	
3.	Class of New Derivative Securities Product:	
	Equity	
4.	Name of Underlying Instrument:	
	Navelier Overall A-100 Index	
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	· · · · · · · · · · · · · · · · · · ·
	Broad-Based	
6.	Ticker Symbol(s) of New Derivative Securities Product:	
	RWV	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:	
	NYSE Arca	
8.	Settlement Methodology of New Derivative Securities Product:	
	cash	
9.	Position Limits of New Derivative Securities Product (if applicable):	
Part II	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulator or has duly delegated its approval to the undersigned for, the trading of the above-reference according to its relevant trading rules (including unlisted trading privileges), procedures and	ed new derivative securities product
Name of (Official Responsible for Form: James C. Yong	-
Title: Chi	ef Regulatory Officer	
Telephone	e Number: (312) 786-8803	
Manual S	gnature of Official Responsible for Form:	
Date: Nov	rember 49, 2009 Securities Exclude Act of 1934	
	Section 19b-4	
	Rule 19b-4(e) Public N/0V 2 4 2009	



James C. Yong Chief Regulatory Officer yongj@nsx.com



DIVISION OF TRADING & MARKETS

November 19, 2009

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

6. FAA

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

1.	UMM	7. EPU
2.	DMM	8. XRU
3.	RWV	9. MYP
4.	RWW	10. CRBQ
5.	RTR	11. BRF

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

Encls.

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	NOV 2 4 208	