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NOV 24 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

| OMB APPROVAL | |
|--------------------------|-----------------|
| OMB Number: | 3235-0504 |
| Expires: | August 31, 2010 |
| Estimated average burden | |
| Hours per response..... | 3.60 |

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Trading New Derivative Securities Product:
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Equity
- Name of Underlying Instrument:
S&P Case-Shiller Composite- 10 Home Price Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:
UMM
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE Arca
- Settlement Methodology of New Derivative Securities Product:
cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

| | |
|---|---------------------------------|
| Manual Signature of Official Responsible for Form | |
| Act | Securities Exchange Act of 1934 |
| Date: | November 19, 2009 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | NOV 24 2009 |

[Handwritten signature]



James C. Yong
Chief Regulatory Officer
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION
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DIVISION OF TRADING & MARKETS

November 19, 2009

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | |
|--------|----------|
| 1. UMM | 7. EPU |
| 2. DMM | 8. XRU |
| 3. RWV | 9. MYP |
| 4. RWW | 10. CRBQ |
| 5. RTR | 11. BRF |
| 6. FAA | |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

James C. Yong

Encls.

| | |
|-----------------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | NOV 24 2009 |

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