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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

DIVIDIGIA OF TRADING & MARKETS

FORM 19b-4(e)

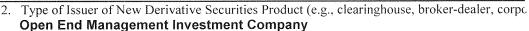
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)



Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Dow Jones U.S. Broad Stock Market Index

If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:

**SCHB** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex

Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable):

N/A

## Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Gary N. Sundick

Title:

Vice President, Listing Qualifications

Telephone Number:

1-301-978-5214

Manual Signature of Official Responsible for Form:

Date: November 06, 2009

SEC 2	449 (6-01)	Securities Exchange Act of 1934
	Section	19b-4
	Rule	19b-4(e)
	Public Availability:	NOV 1 2 2009
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