OMB Approval No.: Submit 1 Original For Internal Use Only Expires: ULIVEU and 9 Copies Sec File No. 91 -Estimated average burden hours per response: 2.00 2009 NOV -9 PM 1: 40 UNITED STATES SECURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM, Initial Listing Report Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, et Open-end Management Investment Company 3. Class of New Derivative Securities Product: Equity-Linked Securities 4. Name of Underlying Instrument: Dow Jones-UBS Commodity Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: London Metal Exchange, NYMEX, CME, CBOT, NYBOT 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. **Execution** The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet M. Kissane Title: Corporate Secretary Telephone Number: Manual Signature of Official Responsible for Form; MINE VIKNOSONE November 6, 2009 AGEC 2449 (1/99) ecurities Exchange Act of 1934 Section 19b-4

Rule

Public

Availability:

19b-4(e)

Janet Kissane
Corporate Secretary



NYSE Arca, Inc.
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Via Overnight Mail

November 6, 2009

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: Form 19b-4(e) - Bank of America / UBS AG

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- Market-Linked Step Up Return Notes linked to the Russell 2000 Index due October 28, 2011 (Ticker Symbol RIH)
- Market-Linked Step Up Return Notes linked to the S&P 500 Index due October 28, 2011 (Ticker Symbol SKN)
- UBS AG E-Tracs linked to the Dow Jones-UBS Commodity Index Return due October 31, 2039 (Ticker Symbol DJCI)

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely.

Enclosur

Cc: Tim Malinowski (NYSE Euronext)

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public NOV 9 2004