091-06751

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OMB Number: Expires:

3235-0504

July 31, 2001 Estimated average burden

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**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

NOV 19 2009

Washington, D.C. 20549

# FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

### **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Chicago Stock Exchange

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): AB Svensk Exportkredit
- 3. Class of New Derivative Securities Product:

securities linked to performance futures contracts in four commodities

- Name of Underlying Instrument: ENTS Linked to the MLCX Grains Index Total Return due 2/13/2023
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various
- Settlement Methodology of New Derivative Securities Product: 8. see Prospectus
- 9. Position Limits of New Derivative Securities Product (if applicable): see Prospectus

Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsible for Form:

Date: 11/17/09

Securities Exchange Act of 1934

SEC 2449 (1/99) Section

19b-4 Rule 19b-4(e)

Public NOV 19 2009 Availability:



# SECURITIES AND EXCHANGE COMMISSION RECEIVED

November 17, 2009

NOV 19 2009

## BY U.S. MAIL

**DIVISION OF TRADING & MARKETS** 

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for Elements ETF

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to two (2) Elements ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

**Associate General Counsel** 

**Enclosures** 

Act	Securities Exchange	Act of 1934
		***
Section	19b-4	7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Rule	19b-4(e)	
Public	1 0 2000	
Availability:	MON 1.8 5003	