091-06750 m

OMB Approval No. For Internal Use Only Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 **UNITED STATES** RECEIVED SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OCT 28 2009 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING PRIOR OF T **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: Investment Company Units 4. Name of Underlying Instrument: Thomson Reuters/Jefferies-In-The-Ground CRB Global Agriculture Equity Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: CRBA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ASX National Stock Exchange, Copenhagen Stock Exchange, Euronext Netherlands Stock Exchange, Hong Kong Stock Exchange, Swiss Six Exchange, Toronto Stock Exchange, Singapore Stock Exchange, Kuala Lumpur Stock Exchange, London Stock Exchange, Oslo Stock Exchange, Tel Aviv Stock Exchange, Xetra Stck Exchange, Taipei Stock Exchange, New York Stock Exchange; 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II **Execution** The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet M. Kissane Title: Securities Exchange Act of 1934 Corporate Secretary Telephone Number: g drift (212) 656-2039 Manual Signature of Official Responsible for Form: Frssa mne October 27, 2009

SEC 2449 (1/99)



NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.3939 jkissane@nyx.com

SECURITIES AND EXCHANGE COMMISSION RECEIVED

OCT 28 2009

**DIVISION OF TRADING & MARKETS** 

## Via Overnight Mail

October 27, 2009

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: Form 19b-4(e) - Citigroup Funding / Thomson Reuters / IndexIQ

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the following securities:

- Citigroup Funding ELKS based Upon the Common Stock of Wells Fargo & Company due November 24, 2010 (Ticker Symbol ESK)
- Citigroup Funding ELKS based Upon the Common Stock of Schlumberger Limited due November 24, 2010 (Ticker Symbol EVD)
- Thomson Reuters/Jefferies CRB Global Agriculture Equity Index Fund linked to the Thomson Reuters/Jefferies-In-The-Ground CRB Global Agriculture Equity Index (Ticker Symbol CRBA)
- Thomson Reuters/Jefferies CRB Global Industrial Metals Equity Index Fund linked to the Thomson Reuters/Jefferies-In-The-Ground CRB Global Industrial Metals Equity Index (Ticker Symbol CRBI)
- IndexIQ CPI Inflation Hedged ETF linked to the IndexIQ CPI Inflation Hedged Index (Ticker Symbol CPI)
- IndexIQ ARB Global Resources ETF linked to the IndexIQ ARB Global Resources Index (Ticker Symbol GRES)

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

closures

Cc: Tim Malinowski (NYSE Euronext)

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public

Availability: OCT 2 8 2009