D91-06743tn

For Internal Use Submit 1 Original Sec File No. 928 AND EXCHANCE COMMISSION and 9 Copies RECEIVED DIVISION OF TRADING & MARKETS Part I NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: Portfolio of technology sector securities **Not Applicable** 6. Ticker Symbol(s) of New Derivative Securities Product: Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex Regular way trades settle on T + 3 (cash settled) N/A Part II standards. Name of Official Responsible for Form: **Joan Conley** Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible Date: October 07, 2009

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

UNITED STATES

OCT 13 2009 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR



Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

8. Position Limits of New Derivative Securities Product (if applicable):

9. Position Limits of New Derivative Securities Product (if applicable):

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Act	Consisting Cont.	2.400
EC 2449 (6-01)	Securities Exclusinge Act 0	1934 '
Section	19b-4	
Rule	19b-4(e)	

OCT 13 2009 vailability: