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**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Ťrust 3. Class of New Derivative Securities Product: ownership of the trust Name of Underlying Instrument: 4. Claymore/Delta Global Shipping Index ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various 8. Settlement Methodology of New Derivative Securities Product: see Prospectus 9 Position Limits of New Derivative Securities Product (if applicable): see Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form: Securities Ex Date: 10/05/09 Section 19b-4 Rule 19b-4(e)



SECURITIES AND EXCHANGE COMMISSION

OCT 0 9 2009

October 5, 2009

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re: Form 19b-4(e) for Claymore ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to two (2) Claymore ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

Associate General Counsel

**Enclosures** 

| Act                     | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section                 | 19b-4                           |
| Rule                    | 19b-4(e)                        |
| Public<br>Availability: | OCT • 9 2009                    |