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3235-0504

July 31, 2001

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UNITED STATES

SECURITIES AND EXCHANGE SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OCT 0.9 2009

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

FORM 19b-4(e)

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Initial Listing Report** 



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Chicago Stock Exchange

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust
- Class of New Derivative Securities Product: 3.

ownership of the trust

4. Name of Underlying Instrument:

Rydex S&P Smallcap 600 Pure Value ETF

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various
- Settlement Methodology of New Derivative Securities Product: 8.

see Prospectus

9. Position Limits of New Derivative Securities Product (if applicable): see Prospectus

Part II

Part I

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsible for Form:

Act Securities Exchange Act of 193 Date: 10/05/09 Section 19b-4 Rule 19b-4(e Public

SEC 2449 (1/99)

Availability: OCT



## SECURITIES AND EXCHANGE COMMISSION RECEIVED

OCT 0 9 2009

October 5, 2009

**DIVISION OF TRADING & MARKETS** 

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for Rydex ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to twenty-three (23) Rydex ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

**Associate General Counsel** 

**Enclosures** 

Act	Securities Exchange Act of 1934
Section	19b-4
Rule Public	19b-4(e)
Availability:	OCT - 9 2009