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|            | OMB Number:     | 3235-0504                |  |
|            | Expires:        | July 31, 2001            |  |
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**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# OCT 0 9 2009

# FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

|        | READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM  |                             |  |
|--------|---|-----------------------------|--|
| Part I | Initial Listing Report  | 100.10                      |  |
| 1.     | Name of Self-Regulatory Organization Listing New Derivative Securities Product:<br>Chicago Stock Exchange   | 10045304                    |  |
| 2.     | Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dealer, corporation, etc.):<br>Trust  |                             |  |
| 3.     | Class of New Derivative Securities Product:   |                             |  |
|        | ownership of the trust  |                             |  |
| 4.     | Name of Underlying Instrument:<br>Rydex S&P Midcap 400 Pure Value ETF   |                             |  |
| 5.     | If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:<br>Broad   |                             |  |
| 6.     | Ticker Symbol(s) of New Derivative Securities Product:<br>RFV   |                             |  |
| 7.     | Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  |                             |  |
| 8.     | Settlement Methodology of New Derivative Securities Product:<br>see Prospectus  |                             |  |
| 9.     | Position Limits of New Derivative Securities Product (if applicable):   |                             |  |
|        | see Prospectus  |                             |  |
| Part I | I Execution   |                             |  |
|        | The undersigned represents that the governing body of the above-referenced Self-Regulator<br>approved, or has duly delegated its approval to the undersigned for, the listing and trading of<br>derivative securities product according to its relevant trading rules, procedures, surveillance<br>standards. | of the above-referenced new |  |
| Name   | of Official Responsible for Form:   |                             |  |
|        | James Ongena  |                             |  |
| Title: |   |                             |  |
|        | Vice President and Associate General Counsel  |                             |  |
|        | one Number:<br>312 663 2937   |                             |  |
| Manua  | al Signature of Official Responsible for Form:  |                             |  |
|        | Act Securities Exchange Act of 1934   |                             |  |
| Date:  | Section 19b-4   Rule 19b-4(e)   |                             |  |
| SEC 24 | 49 (1/99) Public<br>Availability: OCT • 9 2009  |                             |  |



SECURITIES AND EXCHANGE COMMISSION

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October 5, 2009

**DIVISION OF TRADING & MARKETS** 

### BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

## Re: Form 19b-4(e) for Rydex ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to twenty-three (23) Rydex ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

Hangen

James Ongena Associate General Counsel

### Enclosures

| Act                       | Securities Exchange Act of 1934 |
|---------------------------|---------------------------------|
| Section<br>Rule<br>Public | 19b-4<br>19b-4(e)               |
| Availability:             | OCT • 9 2009                    |

#### Chicago Stock Exchange, Incorporated

One Financial Place 440 S LaSalle Street Chicago, Illinois 60605-1070 312-663-2222 www.chx.com