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SECURITIES AND EXCHANGE COMMISSION

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OCT 09 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
Hours per response 3.60

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Trust

3. Class of New Derivative Securities Product:

Equity

4. Name of Underlying Instrument:

Barclays Capital U.S. Convertible Bond > \$500 MM Index Bond

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

CWB

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE Arca

8. Settlement Methodology of New Derivative Securities Product:

cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Official Responsible for Form:

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT - 9 2009

Date: October 5, 2009



James C. Yong
Chief Regulatory Officer
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION
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DIVISION OF TRADING & MARKETS October 5, 2009

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- | | |
|---------|---------|
| 1. DRN | 8. BVT |
| 2. DRV | 9. BWZ |
| 3. UPRO | 10. MBG |
| 4. SPXU | 11. CWB |
| 5. XPP | 12. LWC |
| 6. BVL | 13. ITR |
| 7. BSC | |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

Encls.

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	OCT - 9 2009

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