

091-06662P

For Internal Use Only

Submit 1 Original  
and 9 Copies

Sec File No. 9-

SECURITIES AND EXCHANGE COMMISSION

RECEIVED

OCT 09 2009

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2010
Estimated average burden	
Hours per response.....	3.60

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



10045284

1. Name of Self-Regulatory Organization Trading New Derivative Securities Product:

National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Bank

3. Class of New Derivative Securities Product:

Debt

4. Name of Underlying Instrument:

Benjamin Graham Small Cap Value<sup>SM</sup> Index - Total Return

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

BSC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE Arca

8. Settlement Methodology of New Derivative Securities Product:

cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Manual Signature of Securities Responsible for Form Under Securities Exchange Act of 1934

Date: October 5, 2009

Section Rule: 19b-4(e)

Public

Availability: OCT - 9 2009

*[Handwritten signature]*



James C. Yong  
Chief Regulatory Officer  
yongj@nsx.com

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**

OCT 09 2009

DIVISION OF TRADING & MARKETS October 5, 2009

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

- |         |         |
|---------|---------|
| 1. DRN  | 8. BVT  |
| 2. DRV  | 9. BWZ  |
| 3. UPRO | 10. MBG |
| 4. SPXU | 11. CWB |
| 5. XPP  | 12. LWC |
| 6. BVL  | 13. ITR |
| 7. BSC  |         |

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

Encls.

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	OCT - 9 2009

One Financial Place  
440 South LaSalle Street Suite 2600  
Chicago Illinois 60605

Phone 312.786.8893  
Fax 312.939.7239  
www.nsx.com