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Sec File No. 97153 AND EXCHANGE COMMISSION

PORTUR

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**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

007 0.9 2009

Washington, D.C. 20549

## OMB APPROVAL

OMB Number:

3235-0504

Expires:

August 31, 2010

Estimated average burden

## FORM 19b-4(e)

DIVISION OF TAXABLE & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

,	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I	Initial Listing Report	TO COMPANY OF THE PROPERTY OF
1.	Name of Self-Regulatory Organization Trading New Derivative Securities Product:	
	National Stock Exchange, Inc.	10045281
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation	on, etc.):
	Trust	
3.	Class of New Derivative Securities Product:	
	Equity	
4.	Name of Underlying Instrument:	
	S&P 500 Index	
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:	ATT
	Broad-Based	
6.	Ticker Symbol(s) of New Derivative Securities Product:	
	SPXU	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:	
	NYSE Arca	
8.	Settlement Methodology of New Derivative Securities Product:	
	cash	
9.	Position Limits of New Derivative Securities Product (if applicable):	
Part II	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Org	anization has duly approved,

or has duly delegated its approval to the undersigned for, the trading of the above-referenced new derivative securities product according to its relevant trading rules (including unlisted trading privileges), procedures and surveillance programs.

Name of Official Responsible for Form: James C. Yong

Title: Chief Regulatory Officer

Telephone Number: (312) 786-8803

Act Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form:

rate, October 5,

Public

Availability;



James C. Yong Chief Regulatory Officer yongj@nsx.com

## SECURITIES AND EXCHANGE COMMISSION RECEIVED

OCT 0 9 2009

DIVISION OF TRADING & MARKETS tober 5, 2009

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Securities Exchange Act Forms 19b4-(e)

Dear Ms. Jackson:

Pursuant to Rule 19b4-(e) of the Securities Exchange act of 1934 ("Exchange Act"), enclosed please find one original and nine copies of a completed Form 19b4-(e), executed on behalf of the National Stock Exchange, Inc. ("NSX" or the "Exchange") for each of the following symbols which recently commenced trading on the Exchange:

1.	DRN	8.	BVT
2.	DRV	9.	BWZ
3.	UPRO	10.	MBG
4.	SPXU	11.	CWB
5.	XPP	12.	LWC
6.	BVL	13.	ITR
7.	BSC		

If you have any questions on the enclosed materials, please contact Sheila Vlach at (312) 786-8155.

Very truly yours

Encls.

Act Securities Exchange Act of 193	
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT - 9 2009