91-0669

For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504

hours per response. . . . . 2.00

Expires:

July 31, 2001 Estimated average burden

RECEIVED

## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

2009 OCT. 14 PM 2: 48

Rule

SEC 2449 (1/99)

Public

19b-4(e)

Availability: OCT. 1 4 2009

FORM 19b-4(e)

SEC / TM

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: ownership of the trust 4. Name of Underlying Instrument: iShares Russell Top 200 Growth Index Funds 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various Settlement Methodology of New Derivative Securities Product: 8. see Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): see Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Date: 10/12/2009 Section 19b-4



RECEIVED
2009 2014 PM 2: 47
October 13, 2009 SEC / TM

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re: Form 19b-4(e) for iShares ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to six (6) iShares ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

Associate General Counsel

**Enclosures** 

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT. 14 2009