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OMB Number: 3235-0504

Expires: August 31, 2010 Estimated average burden hours per response.....3.60

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**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**DIVISION OF TRADING & MARKETS** 

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

#### **Initial Listing Report**



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

**Portfolio of Selected Securities** 

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Not Applicable** 

6. Ticker Symbol(s) of New Derivative Securities Product:

DENT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex, NYSE Arca

8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

# Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

# Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date:	Sep	tem	ber	21	2009

Act

Securities Exchange Act of 1934

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