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OCT 0 2 2009

UNITED STATES

UNITED STATES

Washington, D.C. 20540

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR

#### Part I

#### **Initial Listing Report**



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

# **Exchange Traded Fund**

4. Name of Underlying Instrument:

### Russell Top 200 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

#### **Broad-based**

6. Ticker Symbol(s) of New Derivative Securities Product:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: NASDAQ Stock Market LLC. NYSE

8. Position Limits of New Derivative Securities Product (if applicable):

### Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

## Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

# Gary N. Sundick

# Vice President, Listing Qualifications

Telephone Number:

1-301-978-5214

Manual Signature of Official Responsible for Form:

Date: September 29, 2009

SEC 2449 (6-01

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	Exchange Act of 1734	

Section	19b-4	
Rule	19b-4(e)	
Public		
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