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July 31, 2001

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

FORM 19b-4(e)

DIVISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading and T Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust Class of New Derivative Securities Product: 3. ownership of the trust Name of Underlying Instrument: 4. Claymore/BBD High Income Index ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad Ticker Symbol(s) of New Derivative Securities Product: 6. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. various Settlement Methodology of New Derivative Securities Product: 8. see Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. see Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing. standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form: Securities Exchange Act of Act Section Date: 08/27/ 19b-4 Rule 19b-4(e) **Public**

SEP - 2 2009

Availability:

SEC 2449 (1/99)



## SECURITIES AND EXCHANGE COMMISSION RECEIVED

SEP 0 2 2009

September 1, 2009

**DIVISION OF TRADING & MARKETS** 

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re: Form 19b-4(e) for Claymore ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to twenty-one (21) Claymore ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

**Associate General Counsel** 

**Enclosures** 

Act	Securities Exchange Act of 1934	
Section	19 <b>b-4</b>	
Rule	19b-4(e)	
Public Availability:	SEP	2 <b>2009</b>