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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB Number: 3235-0504
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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and TOWNING & MARKETS

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): open end management investment company Class of New Derivative Securities Product: Shares representing units of fractional undivided 3. beneficial interest in the net assets of the Fund 4. Name of Underlying Instrument: First Trust ISE Chindia India Fund If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad Ticker Symbol(s) of New Derivative Securities Product: 6. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various Settlement Methodology of New Derivative Securities Product: 8. see Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. see Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Responsible for Formurities Exchange Act of 1934 Manual Signature of Officia Section Rule Date: 09/02/09 19b-4(e Public Availability:



SECURITIES AND EXCHANGE COMMISSION

September 2, 2009

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BY U.S. MAIL

DIVISION OF TRADING & MARKETS

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for First Trust ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to five (5) First Trust ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

Associate General Counsel

Enclosures

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
Public Availability:	SEP - 4 2009	