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3235-0504

July 31, 2001

For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities EXCHANGEAUNG& MARKETS READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part ]	Initial Listing Report	
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product:	
	Chicago Stock Exchange	10045118
2.	Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dealer, corp Trust	ooration, etc.):
3.	Class of New Derivative Securities Product:	· · ·
	ownership of the trust	
4.	Name of Underlying Instrument: Claymore/Zacks Mid Cap Core ETF	
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad	
6.	Ticker Symbol(s) of New Derivative Securities Product: CZA	
7	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various	
8.	Settlement Methodology of New Derivative Securities Product: see Prospectus	
9.	Position Limits of New Derivative Securities Product (if applicable): see Prospectus	
Part	I Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulatory approved, or has duly delegated its approval to the undersigned for, the listing and trading of derivative securities product according to its relevant trading rules, procedures, surveillance standards.	f the above-referenced new
Name	of Official Responsible for Form:	
1.11	James Ongena	
Title:		
	Vice President and Associate General Counsel	
-	none Number: 312 663 2937	
	al Signature of Official Responsible for Form: Act Securities Exchange (craf 1934	
Date:	08/27/0 <b>Section 19b-4</b>	
	Rule 19b-4(e)	
SEC 24	Public Availability: SEP - 2 2009	



SECURITIES AND EXCHANGE COMMISSION

SEP 0 2 2009

September 1, 2009

**DIVISION OF TRADING & MARKETS** 

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

## Re: Form 19b-4(e) for Claymore ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to twenty-one (21) Claymore ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena Associate General Counsel

Enclosures

Act	Securities Exchange Act of 1934	
Section	19b-4	
Rule	19b-4(e)	
<b>Public</b> Availability:	SEP - 2 2009	

## Chicago Stock Exchange, Incorporated

One Financial Place 440 S LaSalle Street Chicago, Illinois 60605-1070 312-663-2222 www.chx.com