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SEC 2449 (1/99)

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19b-4(e)

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SECURITIES AND EXCHANGE COMM SECURITIES AND EXCHANGES OF THE PROPERTY OF THE P

Washington, D.C. 20549

RECEIVED

FORM 19b-4(e)

SEP 0 2 2009

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Pursuant to Rule 19b-4(e) Under the Rule 1

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust 3. Class of New Derivative Securities Product: ownership of the trust Name of Underlying Instrument: 4. Claymore/BNY BRIC ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad Ticker Symbol(s) of New Derivative Securities Product: 6. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: various Settlement Methodology of New Derivative Securities Product: 8. see Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. see Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form: Date: 08/27/09Act Securities Exchange Act of 1934



## SECURITIES AND EXCHANGE COMMISSION RECEIVED

SEP 0 2 2009

September 1, 2009

**DIVISION OF TRADING & MARKETS** 

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for Claymore ETFs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to twenty-one (21) Claymore ETFs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

Associate General Counsel

**Enclosures** 

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP - 2 <b>2009</b>