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DIVISION OF TRADING & MARKETS

Availability:

SEC 2449 (1/99)

FORM 19b-4(e)

Washington, DC

AIR 18 DED

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. broker-dealer Class of New Derivative Securities Product: 3. senior, unsecured, unsubordinated debt securities 4. Name of Underlying Instrument: E-TRACS UBS Bloomberg CMCI Gold ETN If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad 6. Ticker Symbol(s) of New Derivative Securities Product: Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. various Settlement Methodology of New Derivative Securities Product: 8. see Prospectus Position Limits of New Derivative Securities Product (if applicable): 9 see Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Ongena Title: Vice President and Associate General Counsel Telephone Number: 312 663 2937 Manual Signature of Official Responsible for Form Securities Exchange Act Date: 08/18/09 Section 19b-4 Rule 19b-4(e) Public AUG 1 9 2009



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Washington, DC 110

BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for E-Tracs ETNs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to eight (8) E-Tracs ETNs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

Associate General Counsel

Enclosures

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | AUG 1 9 2009 |