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**UNITED STATES** 

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AUG 19 2009

Washington, D.C. 20549

**DIVISION OF TRADING & MARKETS** 

FORM 19b-4(e)

AUG 19 2000

Information Required of a Self-Regulatory Organization Listing and Frading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

# **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Chicago Stock Exchange

- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. broker-dealer
- Class of New Derivative Securities Product: 3.

senior, unsecured, unsubordinated debt securities

- 4. Name of Underlying Instrument:
  - E-TRACS UBS Bloomberg CMCI Industrial Metals ETN
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Broad
- Ticker Symbol(s) of New Derivative Securities Product: 6.

- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. various
- Settlement Methodology of New Derivative Securities Product: 8.

see Prospectus

9 Position Limits of New Derivative Securities Product (if applicable):

see Prospectus

#### Part II

### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

James Ongena

Title:

Vice President and Associate General Counsel

Telephone Number:

312 663 2937

Manual Signature of Official Responsibl Securities Exchange Act of 1934

Date: 08/18/09

Section -19b-4

Rule 19b-4(e)

Public

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Washington, DC 110

## BY U.S. MAIL

Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, D.C. 20549

Re:

Form 19b-4(e) for E-Tracs ETNs

Dear SEC Staff:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and nine copies of the Forms 19b-4(e) relating to eight (8) E-Tracs ETNs.

If you have any questions about this filing, please contact me at (312) 663-2937.

Sincerely,

James Ongena

**Associate General Counsel** 

**Enclosures** 

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 1 9 2009